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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Page 1 of 1

(3)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,054	08/08/2001	Werner Agne	A34363 (071308.0173)

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112

CONFIRMATION NO. 2633

FORMALITIES LETTER



\*OC000000006547531\*

Date Mailed: 09/13/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2001 SDENB0B1 00000058 09925054

01 FC:105

130.00 OP

*Me*

BAKER BOTTS LLP

Please type a plus sign (+) inside this square



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/925,054
		Filing Date	August 8, 2001
		First Named Inventor	Werner Agne
		Group Art Unit	2837
		Examiner Name	t/b/a
Total Number of Pages in This Submission		Attorney Docket Number	A34363 (071308.0173)

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	Att Name: Bradley B. Geist PTO Reg: 27,551
Date	November 13, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 13, 2001

Typed or printed name	Bradley B. Geist
Signature	<i>[Handwritten Signature]</i>
Date	November 13, 2001

**BAKER BOTTS LLP**

Attorney Docket Number: A34363 (071308.0173)

Title:

METHOD AND APPARATUS FOR PREVENTING DAMAGE TO MACHINE TOOLS, PRODUCTION MACHINES  
AND ROBOTS

Use Space Below for Additional Information:

DEC 06 2001

BAKER BOTTS



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170)

## Complete if Known

Application Number	09/925,054
Filing Date	August 8, 2001
First Named Inventor	Werner Agne
Examiner Name	t/b/a
Group Art Unit	2837
Attorney Docket No.	A34363 (071308.0173)

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number <b>02-4377</b>		Large Entity Small Entity	
Deposit Account Name <b>Baker Botts LLP</b>		Fee (\$)	Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		130	65 Surcharge - late filing fee or oath
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		50	25 Surcharge - late provisional filing fee or cover sheet
2. <input checked="" type="checkbox"/> Payment Enclosed:		130	130 Non-English specification
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		2,520	2,520 For filing a request for ex parte reexamination
FEE CALCULATION		920*	920* Requesting publication of SIR prior to Examiner action
1. BASIC FILING FEE		1,840*	1,840* Requesting publication of SIR after Examiner action
Large Entity Small Entity		110	55 Extension for reply within first month
Fee (\$)	Fee (\$)	400	200 Extension for reply within second month
740	370 Utility filing fee	920	460 Extension for reply within third month
330	165 Design filing fee	1,440	720 Extension for reply within fourth month
510	255 Plant filing fee	1,960	980 Extension for reply within fifth month
740	370 Reissue filing fee	320	160 Notice of Appeal
160	80 Provisional filing fee	280	160 Filing a brief in support of an appeal
SUBTOTAL (1) (\$ 0)		1,510	140 Request for oral hearing
2. EXTRA CLAIM FEES		110	55 Petition to institute a public use proceeding
Total Claims <input type="text"/> - 20 .. = <input type="text"/> 0 <input type="checkbox"/> X <input type="text"/> = <input type="text"/> 0		1,280	55 Petition to revive - unavoidable
Independent Claims <input type="text"/> - 3 .. = <input type="text"/> 0 <input type="checkbox"/> X <input type="text"/> = <input type="text"/> 0		1,280	640 Petition to revive - unintentional
Multiple Dependent <input type="text"/> = <input type="text"/> 0		460	640 Utility issue fee (or reissue)
Large Entity Small Entity		620	230 Design issue fee
Fee (\$)	Fee (\$)	130	310 Plant Issue fee
18	9 Claims in excess of 20	50	130 Petitions to the Commissioner
84	42 Independent claims in excess of 3	180	50 Processing fee under 37 CFR 1.17(q)
280	140 Multiple dependent claim, if not paid	40	180 Submission of Information Disclosure Stmt
84	42 ** Reissue independent claims over original patent	740	40 Recording each patent assignment per property (times number of properties)
18	9 ** Reissue claims in excess of 20 and over original patent	740	370 Filing a submission after final rejection (37 CFR § 1.129(a))
SUBTOTAL (2) (\$ 0)		740	370 For each additional invention to be examined (37 CFR § 1.129(b))
*or number previously paid, if greater; For Reissues, see above		900	370 Request for Continued Examination (RCE)
		900	900 Request for expedited examination of a design application
Other fee (specify) _____		SUBTOTAL (3) (\$ 170)	

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Bradley B. Geist	Registration No. (Attorney/Agent)	27,551	Telephone (212) 408-2562
Signature		Date	November 13, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

# BAKER BOTTS LLP

## PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)  
A34363 (071308.0173)



In re Application of Werner Agne	
Application Number	09/925,054
For	METHOD AND APPARATUS FOR * see attached
Group Art Unit	2837
Examiner	t/b/a

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows  
(check time period desired):

- |   |          |
|---|----------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1))    | \$ _____ |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))   | \$ _____ |
| <input type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ _____ |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))  | \$ _____ |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))  | \$ _____ |

- Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$\_\_\_\_\_.
- A check in the amount of the fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached.
- The Commissioner has already been authorized to charge fees in this application to a Deposit Account.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.  
I have enclosed a duplicate copy of this sheet.

I am the  applicant/inventor

- assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- attorney or agent of record.
- attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a)\_\_\_\_\_.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

November 13, 2001

Date

PTO Reg No.: 27,551

Signature

Bradley B. Geist

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of \_\_\_\_\_ forms are submitted.

**BAKER BOTTS LLP**

Attorney Docket Number: A34363 (071308.0173)

Title: METHOD AND APPARATUS FOR PREVENTING DAMAGE TO MACHINE TOOLS,  
PRODUCTION MACHINES AND ROBOTS

Use Space Below for Additional Information: